

Research results

# Software Metrics for Software Engineering based on the Empirical Approach

# EASE (Empirical Approach for Software Engineering)

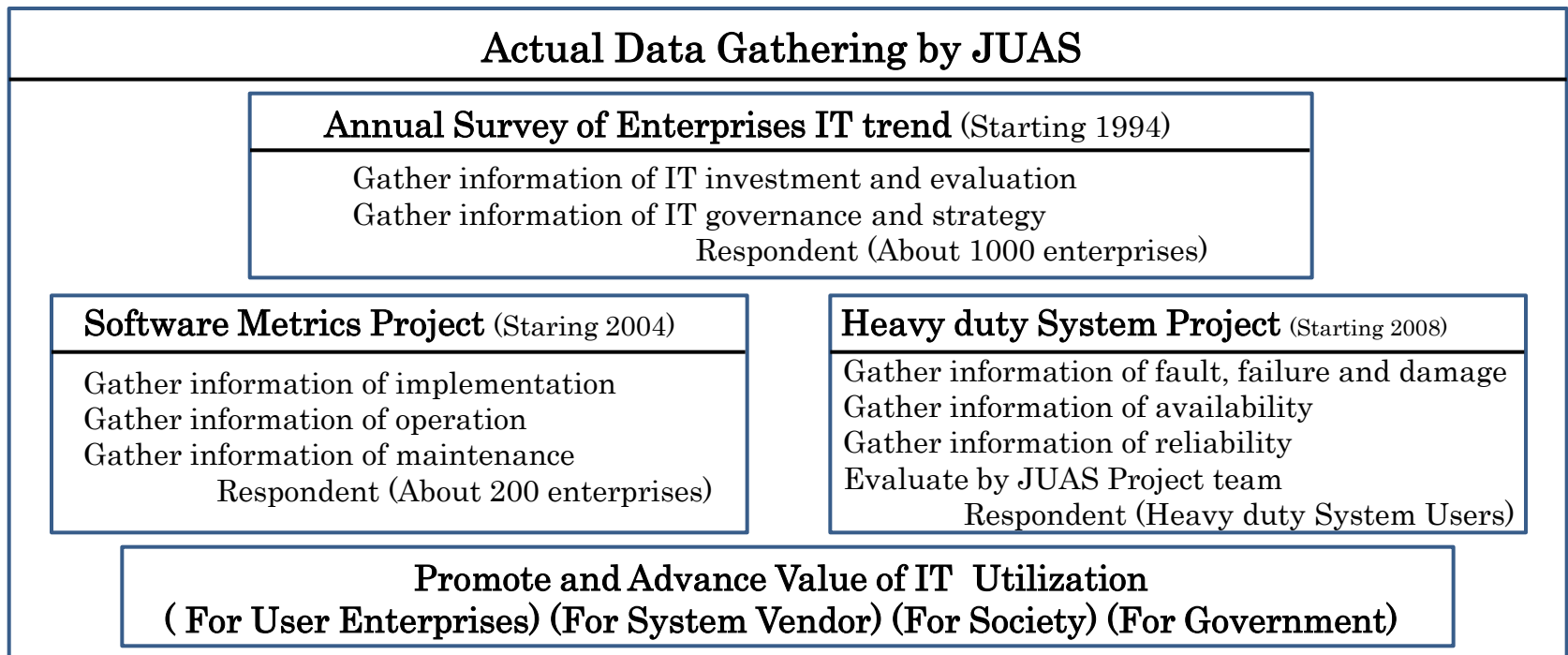
## Objective of EASE

The gathering and analyzing the actual results of software implementation (Design, Production, Testing) projects are prerequisite condition for the mutual understanding of assessment to actual results of software engineering.

Based on the gathered and analyzed actual results, the fundamental information of size, period, man-month, productivity, quality in software engineering related the characteristic of project are offered for project estimation, project management, project execution and project evaluation.


The gathered quantitative actual data are handled based on statistics, and offer the measure or the index for estimate, guidance and evaluation of software engineering project.

## JUAS-EASE Approach

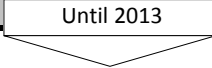


# Characteristic of Software Metrics Generation

	Progress of Research Work			Generation		
	Development	Maintenance	Operation	1st Generation	2nd generation	3rd generarion
2004	Period, Quality, Productivity			Find the outline of property		
2005	Expansion of gathering date and dependence	Organization, Scope of work, Quality				
2006	Expansion of search	Expansion of gathering date and dependence	Organization, Goal of execution (Pre-research)			
2007	Expansion of search	Expansion of search	Preliminary reserch			
2008	Find new knowledge based on increased data	Expansion of search	Preliminary reserch			
2009	Find new knowledge based on stratified sampling method	Expansion of search	Reserch operation for cloud computing		Search property Find annual change Utilize for Project	Find Property for similar project  Relative analysis with foreign data
2010	Search knowledge with survey Heavy duty systems and IT trend	Search for cost of maintenance	Search reasonable operation cost			
Forward						

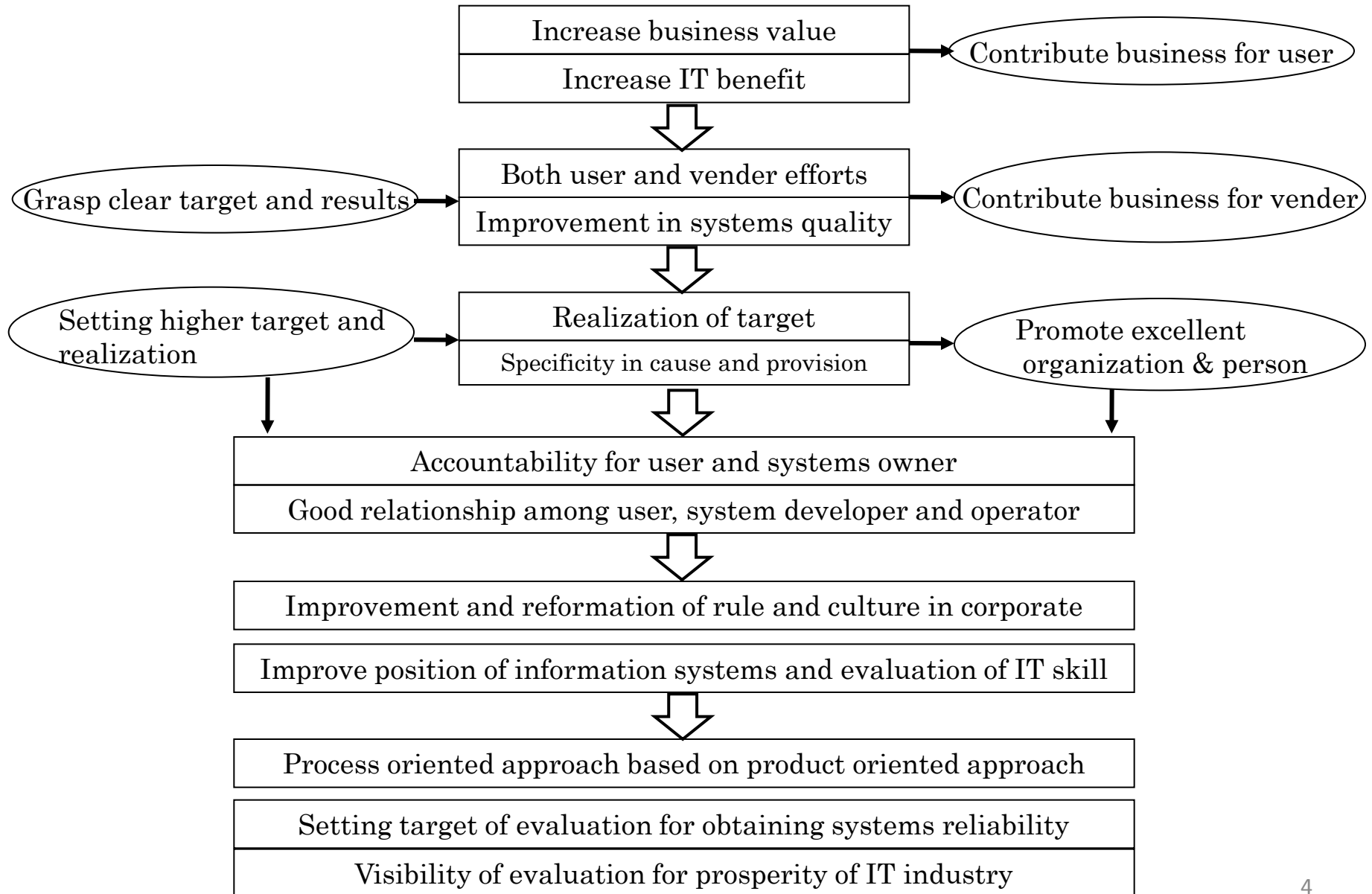


Start 2014



Until 2013

# Meaning of Property for Software Implementation and Operation



# Estimation of Total Period of Implementation

## ■ Scope of period

Including design, production and testing with management as implementation.

## ■ Estimation of period

- (1) Estimate the total period (Statistical period) of implementation from total man-month.
- (2) Estimate the period difference from statistical period vs actual period.
- (3) Estimate the period delay from planned period vs actual period.

## ■ Evaluation of period

Analyze viewpoint among difference and delay of period.

## ■ Calculation formula of period

$$\text{「Statistical total period」} = a \times \sqrt[b]{\text{「Total man-month」}}$$

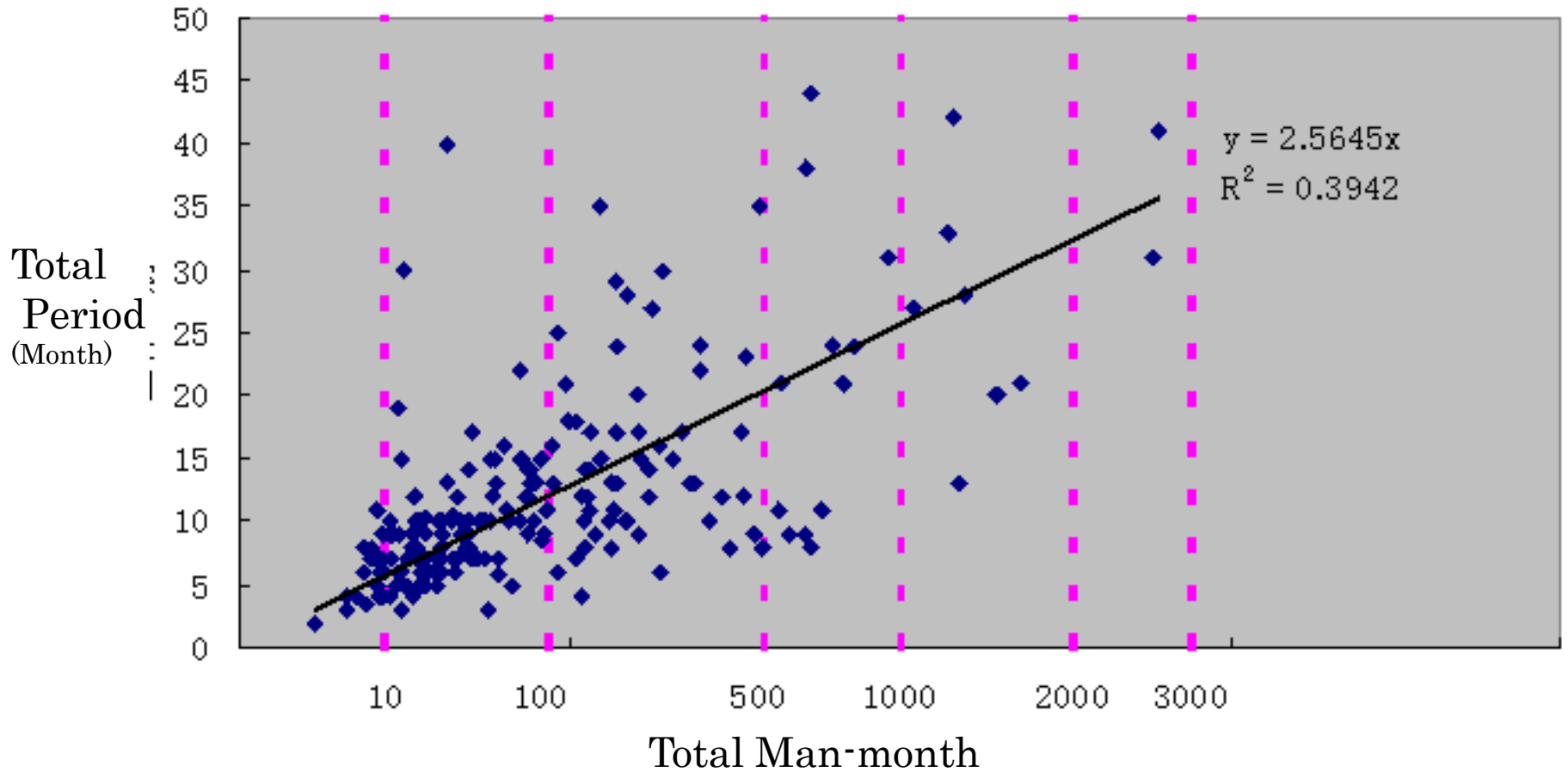
JUAS uses  $b = 1/3$  instead of 0.32 of COCOMO model because easiness of calculation.

## ■ Calculation formula of period based on JUAS-EASE

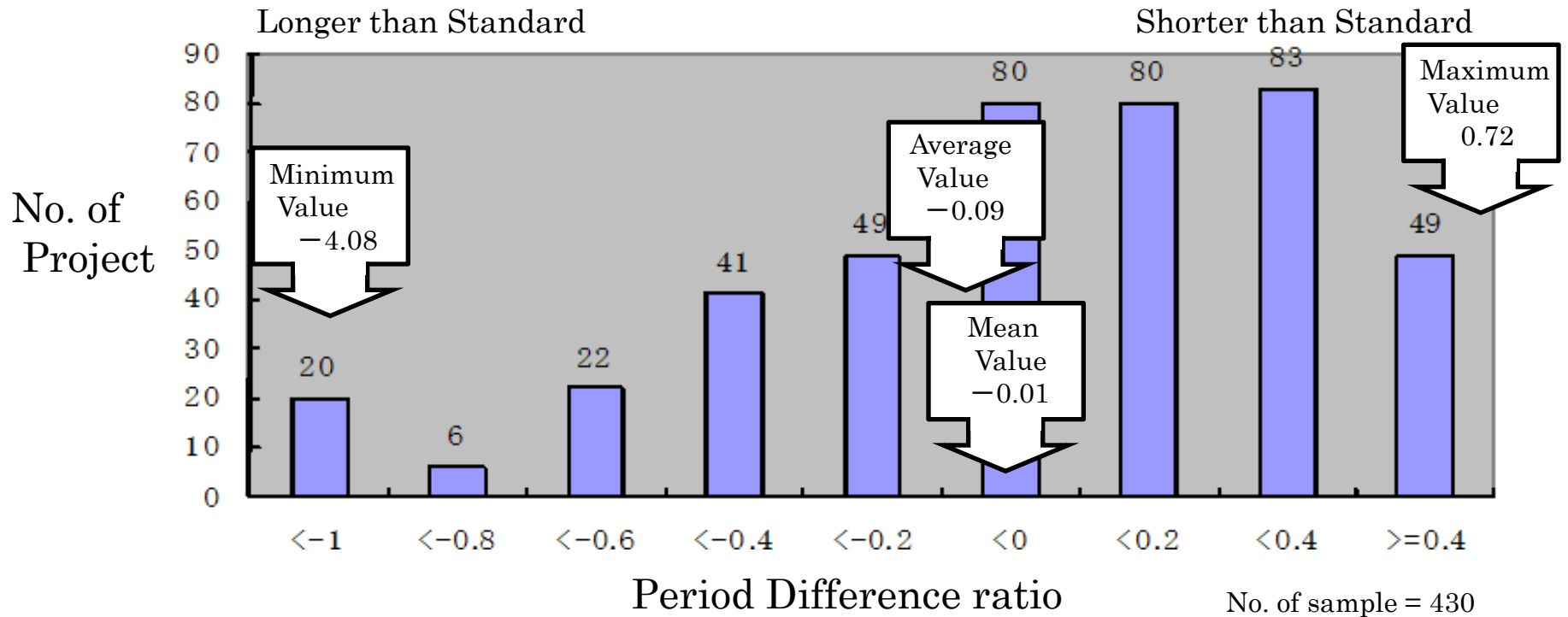
$$\text{「Statistical total period」} = 2.51 \times \sqrt[3]{\text{「Total man-month」}}$$

Correlation coefficient = 0.66    No. of project = 430

# Statistical total period based on JUAS-EASE



# Difference between Statistical Period vs Actual Preperiod



■ Period difference ratio =  $1 - (\text{Actual period} \div \text{Statistical period})$

	Shorten standard period	Standard period	Extended standard period	Total
Difference ratio	$0.25 > X$	$0.25 > X > -0.32$	$X > -0.32$	
No. of Project	112	210	108	430
Ratio	26.05 %	48.84 %	25.12 %	100 %

# Apply the Statistical Period as Standard Period

## ■ Setting the proposed period based on the period difference

Standard period = Calculated statistical period

Extended standard period = Longer calculated statistical period

Shorten standard period = Short calculated statistical period

### Selection and setting the schedule (period)

	Extended standard period	Standard period	25% Shorten standard period	More than 25% Shorten std period
Concept of setting period	Respect for quality	Usual case	Depend user desire	Meet business chance
	In case of high reliable systems project such as banking systems.	Based on implementation project.	Catch up business plan and target such as retail or manufacturing business.	Satisfy commitment of business strategy such as to meet competition M&A or business model.
Scheduling policy	Secure enough testing time	WBS base weekly scheduling	Critical path scheduling	Mobilization scheduling
	Set schedule to prepare enough testing skill and environment.	Set WBS (work breakdown structure ) and optimum schedule.	Priority scheduling to meet prerequisite condition.	Mobilization scheduling to meet the assigned date.
Significant Provision	Reliability of systems design	Define WBS clearly	Same as standard period and add the following.  <ul style="list-style-type: none"> <li>• Assign skilled talent</li> <li>• Modular design</li> <li>• Rapid application develop.</li> <li>• Pre-performance test</li> <li>• Partial cut-over of system</li> </ul>	Same as standard period and add the following  <ul style="list-style-type: none"> <li>• Assign experienced PM</li> <li>• Full backup by company</li> <li>• Shift operation scheduling</li> <li>• Re-use of existing packages</li> <li>• System test by user-side</li> </ul>
	Prepare test cases	Reviewing completely		
	Quality control plan	Fix design specification		
	Testing for stable operation	Prepare test cases		
		Prepare date conversion		
		Engineering change control		



# Ratio of Phasing Period for Software Engineering

## 【Total Implementation Man-month】

Total Man-month	No. of Project	Phasing Period				Phasing Period Ratio			
		Requirement	Design	Production	Testing	Requirement	Design	Production	Testing
< 10 Man-month	11	1.07	0.85	2.48	1.23	18.95	15.12	44.06	21.86
< 50 Man-month	67	2.46	5.38	10.25	6.41	10.05	21.97	41.84	26.14
< 100 Man-month	40	6.90	16.18	27.35	16.84	10.25	24.05	40.66	25.04
< 500 Man-month	67	21.84	46.13	72.68	60.38	10.86	22.95	36.15	30.04
>= 500 Man-month	23	88.84	237.00	392.86	290.33	8.80	23.49	38.93	28.77
Total	208	19.03	45.96	75.55	56.92	9.64	23.27	38.26	28.83
Design Period Base Rate		0.41	1.00	1.61	1.24				

## 【 Planning Phase Man-month 】

Item	Man-month category					
	< 10 MM	< 50 MM	< 100 MM	< 500 MM	≥ 500 MM	Total
No. of Project	6	24	23	40	14	107
Average Planning Phase Man-month	0.92	3.83	4.50	11.02	46.93	12.14
Average Planning Phase Man-month Ratio	20.94 %	16.88 %	6.42 %	5.68 %	2.49 %	8.79 %
Planning Phase Man-month (Median)	1	1.5	2.5	6	15	4
Planning Phase Man-month Ratio (Median)	12.68 %	7.04 %	3.69 %	3.08 %	1.83 %	3.85 %

# Ratio of Phasing Period by Application Type

	Total Man-month	No. of Project	Phasing Period			Phasing Period Ratio		
			Design	Production	Testing	Design	Production	Testing
New Application	< 10 Man-month	10	0.81	1.00	0.48	35.43%	43.63%	20.95%
	< 50 Man-month	54	0.70	1.00	1.58	31.05%	44.11%	24.84%
	< 100 Man-month	19	0.84	1.00	0.53	35.80%	42.16%	22.24%
	< 500 Man month	38	0.74	1.00	0.92	27.85%	37.55%	34.50%
	≥ 500 Man-month	13	0.99	1.00	0.98	33.23%	33.67%	33.10%
	Non-answer	2	0.32	1.00	0.54	17.13%	53.78%	29.09%
	Total	136	0.76	1.00	0.59	31.12%	40.73%	28.15%
Re-Modeling and Revise	< 10 Man-month	7	0.09	1.00	1.27	23.27%	33.80%	42.93%
	< 50 Man-month	37	0.81	1.00	1.17	21.92%	38.04%	42.04%
	< 100 Man-month	26	0.05	1.00	1.04	24.15%	37.21%	38.64%
	< 500 Man month	40	1.02	1.00	1.25	31.08%	30.61%	38.31%
	≥ 500 Man-month	12	0.62	1.00	0.70	26.68%	43.20%	30.12%
	Non-answer	2	0.76	1.00	0.54	32.93%	43.57%	23.49%
	Total	124	0.76	1.00	1.12	28.31%	34.80%	38.69%
Total	< 10 Man-month	17	0.76	1.00	0.81	28.88%	38.86%	31.38%
	< 50 Man-month	91	0.67	1.00	0.81	28.88%	40.43%	32.68%
	< 100 Man-month	45	0.73	1.00	0.82	28.64%	39.15%	32.21%
	< 500 Man month	78	0.88	1.00	1.09	29.71%	33.84%	36.64%
	≥ 500 Man-month	25	0.81	1.00	0.85	30.49%	37.66%	31.85%
	Non-answer	4	0.54	1.00	0.54	25.88%	48.14%	26.00%
	Total	260	0.76	1.00	0.88	28.84%	37.67%	33.69%

# Period Delay by Project Size

Size of project		Period delay							Total	More than 20% delay
		Early Schedule	Keep Schedule	<10%	<20%	<50%	≥50%			
< 10 Man-month	No. of Project	2	25		2	2	4	35	17.14%	
	Ratio (%)	5.71	71.43	0.00	5.71	5.71	11.43	100.00		
< 50 Man-month	No. of Project	12	89	3	13	13	8	136	15.44%	
	Ratio (%)	8.82	65.44	2.21	9.56	9.56	5.88	100.00		
< 100 Man-month	No. of Project	5	43	3	9	9	5	70	20.00%	
	Ratio (%)	7.14	61.43	4.29	12.86	12.86	7.14	100.00		
< 500 Man month	No. of Project	10	89	8	2	2	5	122	5.74%	
	Ratio (%)	8.20	72.95	6.56	1.84	1.64	4.10	100.00		
≥ 500 Man-month	No. of Project	3	27	7	8	8	2	48	20.83%	
	Ratio (%)	6.25	56.25	14.58	16.67	16.67	4.17	100.00		
No-answer	No. of Project	2	25	3	8	8		46	17.78%	
	Ratio (%)	4.44	55.56	6.67	17.78	17.78	0.00	100.00		
Total	No. of Project	34	298	24	42	42	24	456	14.47%	
	Ratio (%)	7.46	65.35	5.26	9.21	9.21	5.26	100		

## Relationship between Period Delay and Period Difference

Project difference		Period delay							Total	More than 20% delay
		Early Schedule	Keep Schedule	<10%	<20%	<50%	≥50%			
Extended Standard period	No. of Project	5	57	9	7	8	14	100	22.00%	
	Average Delay	-0.1666	0	0.0581	0.1433	0.2633	0.8708	0.1499		
	Ratio (%)	5.00	57.00	9.00	7.00	8	14.00	100.00		
Standard Period	No. of Project	10	140	11	14	19	9	203	13.79%	
	Average Delay	-0.194	0	0.0697	0.1458	0.3002	0.6675	0.062		
	Ratio (%)	4.93	66.97	5.42	6.90	9.36	4.43	100.00		
Shorten Standard Period	No. of Project	17	76	1	6	7	1	108	7341%	
	Average Delay	-0.311	0	0.0625	0.1449	0.2948	0.625	-0.015		
	Ratio (%)	15.74	70.37	0.93	5.56	6.48	0.93	100.00		
Total	No. of Project	32	273	21	27	34	24	411	14.11%	
	Average Delay	-0.252	0	0.0644	0.1449	0.2904	0.7843	0.063		
	Ratio (%)	7.79	66.42	5.11	6.57	8.27	5.84	100.00		

# Reason of Implementation Period Delay

Reason of Implementation Period Delay		Total Man-month						Total	Ratio (%)
		<10MM	<50MM	<100MM	<500MM	≥500MM	No.Res		
1	Unsuitable object of systematization		2	1		1		4	0.76
2	Unsuitable content of RFP (Request for Proposal)	2	3	3	8	1	2	19	3.59
3	Delay of finalization of requirement specification	9	30	19	30	14	10	112	21.17
4	Insufficient work for analyzing requirement specification	9	18	12	19	12	12	82	15.50
5	Increase scope of design and production	5	12	12	30	13	7	79	14.93
6	Unsuitable assignment of project member	1	7	3	7	3	1	22	4.16
7	Misjudge vender selection		4	4	6	4	2	20	3.78
8	Lack of skill for design and production	3	6	10	17	6	4	46	8.70
9	Insufficient test planning	3	11	11	5	6	4	40	7.56
10	Insufficient acceptance inspection	1	1		6	3	3	14	2.65
11	Shortage of integrated systems test	2	8		6	5	5	26	4.91
12	Lack of management by project manager	2	5	6	7	6	4	30	5.67
13	Other	1	9	9	8	3	5	35	6.62
Total		38	116	90	149	77	59	529	100.00

# Estimation of Total Period of Implementation

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- (1) Estimate the total period (Statistical period) of implementation from total man-month.
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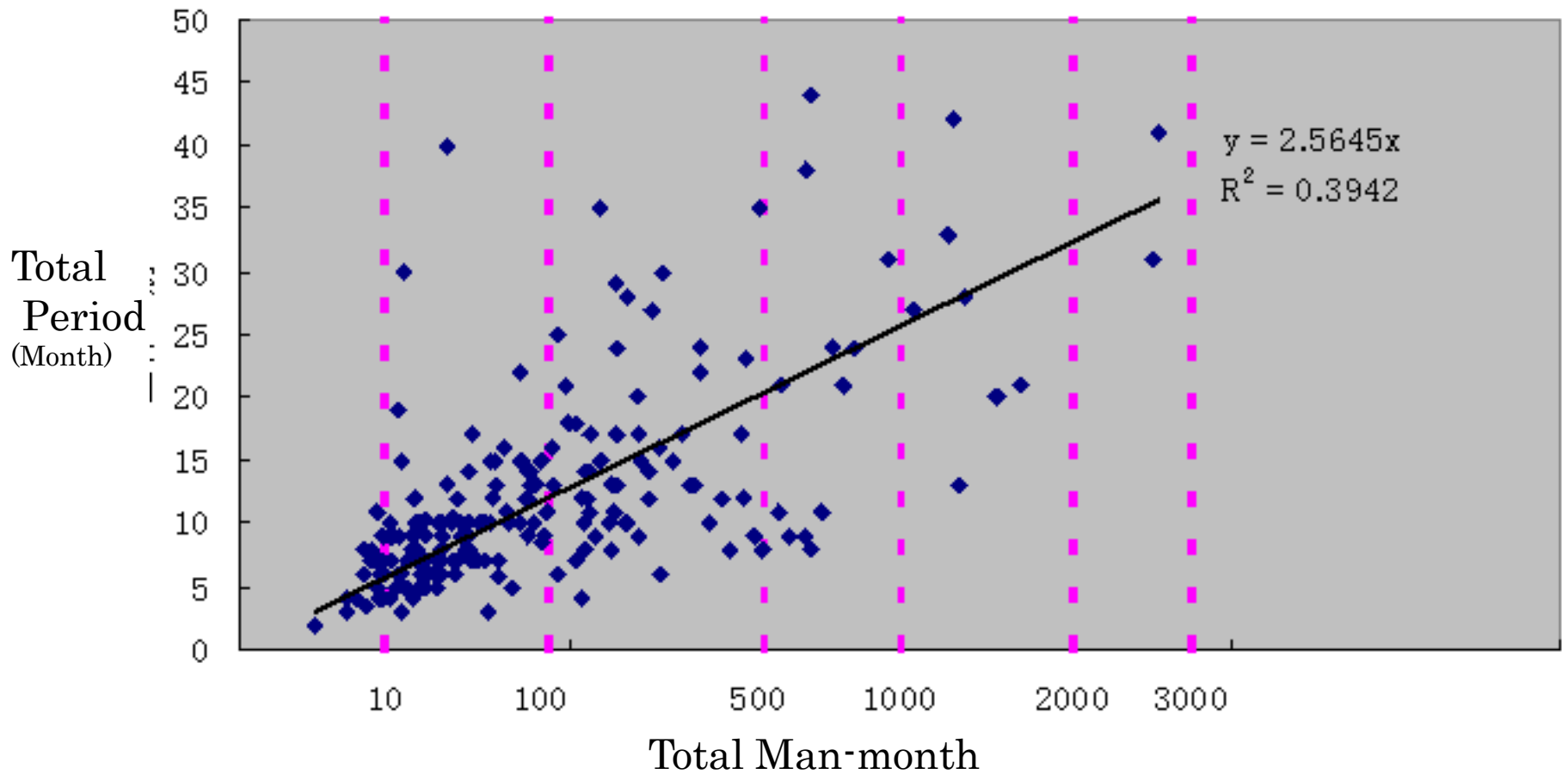
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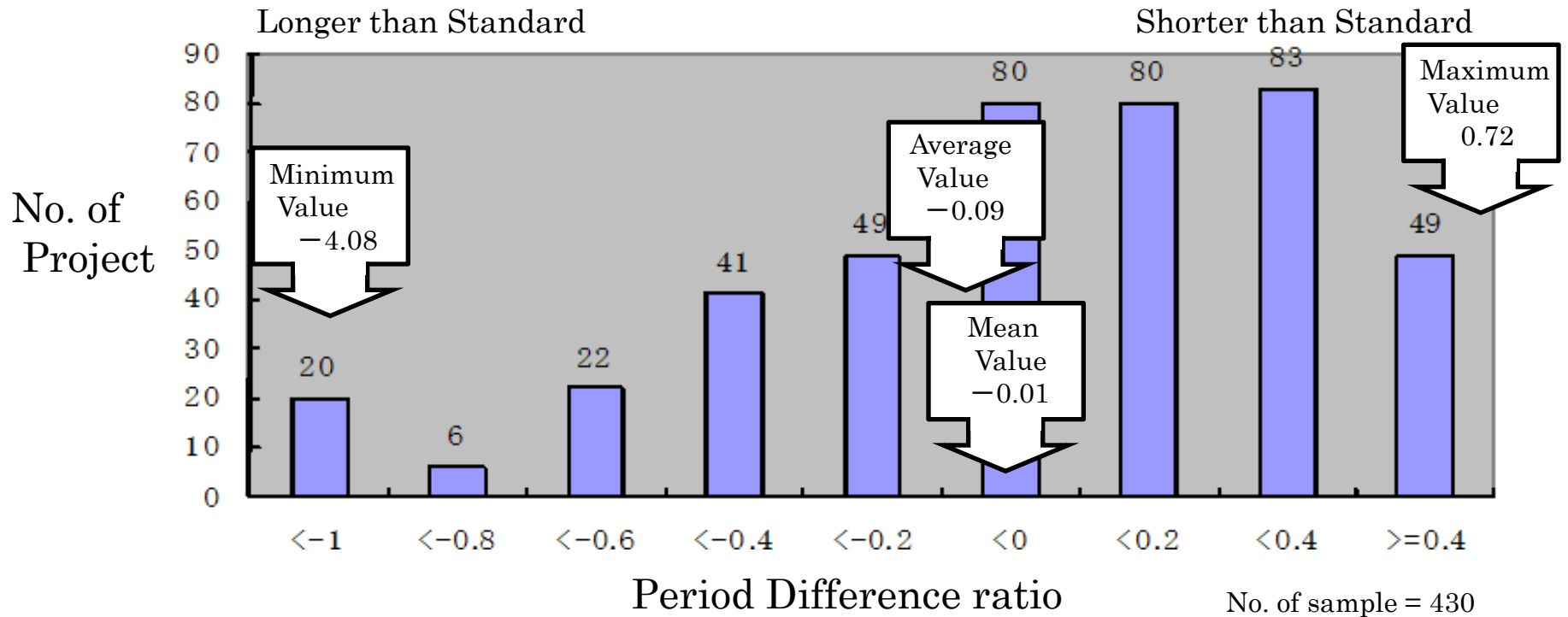
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# Statistical total period based on JUAS-EASE



# Difference between Statistical Period vs Actual Preperiod



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	Shorten standard period	Standard period	Extended standard period	Total
Difference ratio	$0.25 > X$	$0.25 > X > -0.32$	$X > -0.32$	
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Ratio	26.05 %	48.84 %	25.12 %	100 %

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# Ratio of Phasing Period for Software Engineering

## 【Total Implementation Man-month】

Total Man-month	No. of Project	Phasing Period				Phasing Period Ratio			
		Requirement	Design	Production	Testing	Requirement	Design	Production	Testing
< 10 Man-month	11	1.07	0.85	2.48	1.23	18.95	15.12	44.06	21.86
< 50 Man-month	67	2.46	5.38	10.25	6.41	10.05	21.97	41.84	26.14
< 100 Man-month	40	6.90	16.18	27.35	16.84	10.25	24.05	40.66	25.04
< 500 Man-month	67	21.84	46.13	72.68	60.38	10.86	22.95	36.15	30.04
>= 500 Man-month	23	88.84	237.00	392.86	290.33	8.80	23.49	38.93	28.77
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Design Period Base Rate		0.41	1.00	1.61	1.24				

## 【 Planning Phase Man-month 】

Item	Man-month category					
	< 10 MM	< 50 MM	< 100 MM	< 500 MM	≥ 500 MM	Total
No. of Project	6	24	23	40	14	107
Average Planning Phase Man-month	0.92	3.83	4.50	11.02	46.93	12.14
Average Planning Phase Man-month Ratio	20.94 %	16.88 %	6.42 %	5.68 %	2.49 %	8.79 %
Planning Phase Man-month (Median)	1	1.5	2.5	6	15	4
Planning Phase Man-month Ratio (Median)	12.68 %	7.04 %	3.69 %	3.08 %	1.83 %	3.85 %

# Ratio of Phasing Period by Application Type

	Total Man-month	No. of Project	Phasing Period			Phasing Period Ratio		
			Design	Production	Testing	Design	Production	Testing
New Application	< 10 Man-month	10	0.81	1.00	0.48	35.43%	43.63%	20.95%
	< 50 Man-month	54	0.70	1.00	1.58	31.05%	44.11%	24.84%
	< 100 Man-month	19	0.84	1.00	0.53	35.80%	42.16%	22.24%
	< 500 Man month	38	0.74	1.00	0.92	27.85%	37.55%	34.50%
	≥ 500 Man-month	13	0.99	1.00	0.98	33.23%	33.67%	33.10%
	Non-answer	2	0.32	1.00	0.54	17.13%	53.78%	29.09%
	Total	136	0.76	1.00	0.59	31.12%	40.73%	28.15%
Re-Modeling and Revise	< 10 Man-month	7	0.09	1.00	1.27	23.27%	33.80%	42.93%
	< 50 Man-month	37	0.81	1.00	1.17	21.92%	38.04%	42.04%
	< 100 Man-month	26	0.05	1.00	1.04	24.15%	37.21%	38.64%
	< 500 Man month	40	1.02	1.00	1.25	31.08%	30.61%	38.31%
	≥ 500 Man-month	12	0.62	1.00	0.70	26.68%	43.20%	30.12%
	Non-answer	2	0.76	1.00	0.54	32.93%	43.57%	23.49%
	Total	124	0.76	1.00	1.12	28.31%	34.80%	38.69%
Total	< 10 Man-month	17	0.76	1.00	0.81	28.88%	38.86%	31.38%
	< 50 Man-month	91	0.67	1.00	0.81	28.88%	40.43%	32.68%
	< 100 Man-month	45	0.73	1.00	0.82	28.64%	39.15%	32.21%
	< 500 Man month	78	0.88	1.00	1.09	29.71%	33.84%	36.64%
	≥ 500 Man-month	25	0.81	1.00	0.85	30.49%	37.66%	31.85%
	Non-answer	4	0.54	1.00	0.54	25.88%	48.14%	26.00%
	Total	260	0.76	1.00	0.88	28.84%	37.67%	33.69%

# Period Delay by Project Size

Size of project		Period delay							Total	More than 20% delay
		Early Schedule	Keep Schedule	<10%	<20%	<50%	≥50%			
< 10 Man-month	No. of Project	2	25		2	2	4	35	17.14%	
	Ratio (%)	5.71	71.43	0.00	5.71	5.71	11.43	100.00		
< 50 Man-month	No. of Project	12	89	3	13	13	8	136	15.44%	
	Ratio (%)	8.82	65.44	2.21	9.56	9.56	5.88	100.00		
< 100 Man-month	No. of Project	5	43	3	9	9	5	70	20.00%	
	Ratio (%)	7.14	61.43	4.29	12.86	12.86	7.14	100.00		
< 500 Man month	No. of Project	10	89	8	2	2	5	122	5.74%	
	Ratio (%)	8.20	72.95	6.56	1.84	1.64	4.10	100.00		
≥ 500 Man-month	No. of Project	3	27	7	8	8	2	48	20.83%	
	Ratio (%)	6.25	56.25	14.58	16.67	16.67	4.17	100.00		
No-answer	No. of Project	2	25	3	8	8		46	17.78%	
	Ratio (%)	4.44	55.56	6.67	17.78	17.78	0.00	100.00		
Total	No. of Project	34	298	24	42	42	24	456	14.47%	
	Ratio (%)	7.46	65.35	5.26	9.21	9.21	5.26	100		

## Relationship between Period Delay and Period Difference

Project difference		Period delay							Total	More than 20% delay
		Early Schedule	Keep Schedule	<10%	<20%	<50%	≥50%			
Extended Standard period	No. of Project	5	57	9	7	8	14	100	22.00%	
	Average Delay	-0.1666	0	0.0581	0.1433	0.2633	0.8708	0.1499		
	Ratio (%)	5.00	57.00	9.00	7.00	8	14.00	100.00		
Standaard Period	No. of Project	10	140	11	14	19	9	203	13.79%	
	Average Delay	-0.194	0	0.0697	0.1458	0.3002	0.6675	0.062		
	Ratio (%)	4.93	66.97	5.42	6.90	9.36	4.43	100.00		
Shorten Standard Period	No. of Project	17	76	1	6	7	1	108	7341%	
	Average Delay	-0.311	0	0.0625	0.1449	0.2948	0.625	-0.015		
	Ratio (%)	15.74	70.37	0.93	5.56	6.48	0.93	100.00		
Total	No. of Project	32	273	21	27	34	24	411	14.11%	
	Average Delay	-0.252	0	0.0644	0.1449	0.2904	0.7843	0.063		
	Ratio (%)	7.79	66.42	5.11	6.57	8.27	5.84	100.00		

# Reason of Implementation Period Delay

Reason of Implementation Period Delay		Total Man-month						Total	Ratio (%)
		<10MM	<50MM	<100MM	<500MM	≥500MM	No.Res		
1	Unsuitable object of systematization		2	1		1		4	0.76
2	Unsuitable content of RFP (Request for Proposal)	2	3	3	8	1	2	19	3.59
3	Delay of finalization of requirement specification	9	30	19	30	14	10	112	21.17
4	Insufficient work for analyzing requirement specification	9	18	12	19	12	12	82	15.50
5	Increase scope of design and production	5	12	12	30	13	7	79	14.93
6	Unsuitable assignment of project member	1	7	3	7	3	1	22	4.16
7	Misjudge vender selection		4	4	6	4	2	20	3.78
8	Lack of skill for design and production	3	6	10	17	6	4	46	8.70
9	Insufficient test planning	3	11	11	5	6	4	40	7.56
10	Insufficient acceptance inspection	1	1		6	3	3	14	2.65
11	Shortage of integrated systems test	2	8		6	5	5	26	4.91
12	Lack of management by project manager	2	5	6	7	6	4	30	5.67
13	Other	1	9	9	8	3	5	35	6.62
Total		38	116	90	149	77	59	529	100.00